* *

PUBLIC VERSION

* *

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct to the best of my knowledge.

Dated this 15th day of April, 2003 at Cedar Rapids, Iowa

Jason M. Knapp

* * *

PUBLIC VERSION

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BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Rulemaking on the Commission's Own Motion to Govern Open Access to Bottleneck Services and Establish A Framework for Network Architecture Development of Dominant Carrier Networks

Investigation on the Commission's Own Motion into Open Access and Network Architecture Development of Dominant Carrier Networks Rulemaking 93-04-003 (Filed April 7, 1993)

Investigation 93-04-002 (Filed April 7, 1993)

DECLARATION OF EDWARD J. CAPUTO IN SUPPORT OF COMMENTS OF LSSI CORP., METRO ONE TELECOMMUNICATIONS, INC., AND WORLDCOM, INC., CONCERNING SBC CALIFORNIA'S DIRECTORY ASSISTANCE LISTING INFORMATION SERVICE

I. QUALIFICATIONS OF EDWARD J. CAPUTO

- My name is Edward J. Caputo. I am Director of Operator and Directory Services for MCI WorldCom, Inc. ("WorldCom"). My business address is 22001 Loudoun County Parkway, Ashburn, Virginia 20147.
- I attended the University of Maryland in College Park, Maryland and earned a Bachelor of Science degree in Business Management.
- 3. I have held management positions in the telecommunications field for the last 11 years.
 Prior to that, I held management positions in the information technology and finance field. I have had management responsibilities at WorldCom and its predecessor entity,
 MCI, since 1990 in the area of operator and directory services.

II. PURPOSE OF DECLARATION

I have been asked by WorldCom to address certain issues related to directory assistance listings. In this declaration I (1) give a general description of the industry and why LECs are required to provide nondiscriminatory access to their directory assistance listings; (2) describe how the listings are generated and the process involved in making them available to DA providers such as WorldCom; (3) describe how WorldCom incorporates those listings into its own national directory assistance database; and (4) describe WorldCom's experiences with SBC California and the industry as a whole.

III. DISCUSSION

A. Access to Directory Assistance Listings

the country. Of these BEGIN WorldCom PROPRIETARY*** END WorldCom
PROPRIETARY*** different DALIS providers, there are over BEGIN WorldCom
PROPRIETARY*** END WorldCom PROPRIETARY*** different data feeds,
but the process is relatively the same with only some minor differences. Historically,
ILECs allowed only per-dip or per listing access to their databases and sometimes these
ILECs would discriminate against other LECs by offering their listings at different rates
or terms to certain LECs than others. Federal law and the FCC now require
nondiscriminatory access to these databases, and require ILECs to offer batch or
download access to their directory assistance listings. WorldCom's goal is to have the

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same listings available to its operators and ultimately, its customers, that SBC California has available to its customers.

6. The directory assistance marketplace has only begun to flourish under this regulatory framework. Although ILECs, by virtue of their incumbent position in the local market have the most comprehensive directory assistance listings database for their region (e.g. California), allowing CLECs and other DA providers to compete on a level, nondiscriminatory, playing field has yielded tangible results. For example, directory assistance was once known as "Directory Resistance", and complaints from customers were high because of the poor quality of the information and the poor value to consumers. Once WorldCom and other DA providers were allowed to provide a competitive alternative, the quality improved and new markets and the industry are now developing new services including caller identification and call completion services based on the directory assistance information. As I explain in more detail below, WorldCom continues to verify the integrity of the listings it receives from SBC California and other ILECs because it is committed to providing its customers with the best, most up-to-date information it can get. Without this competitive pressure, SBC California would have less incentive to keep its data accurate. In fact, even when we provide information regarding data errors, the changes take days or weeks to show up in the data feeds.

B. The Directory Assistance Listings Process

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- 7. Incumbent local exchange carriers ("ILECs") like SBC California generate their directory assistance listings ("DAL") from the service order process when a customer signs up for new service. Name and address information is garnered from the service order and used to populate the DAL database along with the corresponding line number.
- 8. SBC California also uses subscriber listing information from CLECs such as WorldCom to populate both its directory assistance database as well as its directory publishing database. CLECs such as WorldCom give their listings to SBC California at no cost so that these numbers get published in the printed directories by the ILECs. See,

 Interconnection Agreement Under Sections 251 and 252 of the Telecommunications Act of 1996 by and Between Pacific Bell and MCI Metro Access Transmission Services,

 LLC.. Appendix WP (White Pages), § 2. WorldCom buys back its own listings from SBC California when it receives the DALIS.
- 9. WorldCom first receives an initial load of the listings from the provisioning LEC. The initial load is a "snapshot" or copy of the listings in the provisioning LECs database at any one time. Before receiving the initial load, network routing, and other database peculiarities or technical issues are worked out between the receiving DA provider or LEC and provisioning LEC. Once the parameters are agreed upon, a programmer creates a computer program that queries the database and gathers all the appropriate listings in the database.

- As the ILEC's customers change, so does the directory assistance listing information.

 Accordingly daily updates to the database are provided to the receiving DA provider or LEC. These update files are created using a software process that is created once but scours the provisioning LEC's database for changes made every business day. The resulting data file is generally a small percentage of the total listings. Containing new listings and a record of those records that should be deleted. Daily updates are generally sent Monday through Friday except holidays.
- 11. The resulting data in the initial load or subsequent daily updates is offered in a format specific to the provisioning LEC unless specifically requested by the receiving DAL provider or LEC. WorldCom has not requested any change in database format since it initiated DALIS with SBC California.
- 12. The provisioning LEC sends the initial load or daily update files to WorldCom electronically, using a network protocol known as Connect:Direct ("C:D"). The NDM or "network data mover" protocol was also used until recently. WorldCom has always advocated the use of this or other electronic protocols for the delivery of the database rather than having the data delivered by courier on computer tapes. Even though WorldCom pays for the network lines that carry the information, an electronic format is far more cost effective, faster and more reliable. Historically, ILECs have been slow to adopt electronic delivery methods. However, WorldCom presently does not receive any

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listings in a tape format, nor does it intend to move away from electronic delivery methods.

- Once WorldCom receives either the initial load or the update file, a programmer creates a computer program to convert the SBC California DALIS format into the MCI format so that a database capable of queries can be created. While a change in data format might be possible from SBC California, MCI WorldCom has not requested any database format changes since it initiated DALIS with SBC California; it relies on its own conversion processes.
- Occasionally, integrity problems arise with either the data sent by the provisioning LEC or the DAL provider or the LEC's database. In those instances, the DAL provider or LEC requires a "refresh" of the data, which is akin to a current initial load, without all the preliminary tasks associated with the initiation of service. A refresh is easier to accomplish than correcting potentially millions of records individually and, in the case where the ILEC provider's data is corrupted, is performed once the data has been fixed. WorldCom has never requested a refresh of the SBC California database.

C. WorldCom's Directory Assistance Experience

15. MCI WorldCom has its own computers, programmers and staff that operate and maintain the WorldCom directory assistance database containing approximately **BEGIN**

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listings from all ILECs nationwide. Although WorldCom does not output the data in exactly the same manner, WorldCom encounters some of the same logistical and technical issues experienced by ILECs such as SBC California as it integrates and reformats the data for use by its own operators and customers.

- 16. WorldCom Mainframe Development is responsible for receiving and processing the DALIS listings from SBC California. They use a job scheduler called CA-7 that completely automates the scheduling of all DALIS processes. Mainframe developers spend **no** time watching any database processes.
- 17. WorldCom Mainframe Development could easily apply the same simple DALIS functions performed by SBC California described above to WorldCom's nationwide database. These processes are common and well understood by most ILECs from which WorldCom receives its data.
- While WorldCom's data processes are largely automated, it employs a full time staff of BEGIN WorldCom PROPRIETARY***

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 employees to oversee its nationwide database. The staff consists of BEGIN WorldCom PROPRIETARY***

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I would expect that a regional operation, as opposed to WorldCom's national service,

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could do the same functions on a much smaller scale. As opposed to SBC California,

WorldCom works with over BEGIN WorldCom PROPRIETARY***

END WorldCom PROPRIETARY*** listings, BEGIN WorldCom

PROPRIETARY*** END WorldCom PROPRIETARY*** different providers of
data and over BEGIN WorldCom PROPRIETARY*** END WorldCom

PROPRIETARY*** different data feeds per day, and WorldCom converts these myriad
data formats into useable listings for all of WorldCom's data customers and its operator
platform. By contrast, SBC California simply provides requesting DA providers and
LECs with a copy of their listings, in their own format, with no guarantee that the listings
they provide are even accurate.

19. WorldCom routinely runs integrity checks of the data it receives from SBC California and other provisioning LECs. Between integrity checks and customer complaints it receives, WorldCom identifies individual or localized problems with the data and brings them to SBC California's attention. WorldCom brings these problems to SBC California's attention so that a subsequent update will reflect the change and hopefully correct the problem, although it may take days or even weeks for the problems to disappear from the daily updates. Thus SBC California directly benefits from the information it receives from WorldCom and other DA providers who perform integrity checking in this context.

D. SBC California Service

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- I have been asked to comment on the level and type of service WorldCom has received from SBC California. Although it has been my experience that WorldCom has encountered very few problems with data received from SBC California, this is not true for SBC as a whole. SBC ILECs such as SWBT and Ameritech have major data integrity issues that have continued for months and years. Since SBC took over these ILECs, it is my understanding that they have consolidated their personnel that deal with these issues so that they do not address only SBC California issues. For example, while WorldCom used to have contacts at all the individual SBC ILECs, SBC now insists we deal with one account manager, Josh Smith, for all of our data issues.
- Individual listing integrity issues are really the only type of situation where we deal with SBC California on any regular basis. Other potential issues might include data format or daily data feed issues that might arise. Again, while these issues arise more frequently with other ILECs, they generally do not arise with SBC California. It is most often the case that problems arise when an ILEC provider like SBC California changes its data format or feed schedule to suit its own needs rather than those of WorldCom. Although SBC might refer to WorldCom as its "customer", the relationship is generally more adversarial than customer service oriented.
- 22. WorldCom utilizes mainframe computers to store and service its nationwide directory assistance listings. The space required to house the SBC California listings, however, takes as little as BEGIN WorldCom PROPRIETARY***

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WorldCom PROPRIETARY*** of computer storage. A copy of the database can be made in a manner of seconds and the daily updates can be integrated and reformatted in a matter of minutes. Although I understand that the cost of CPU time depends on the type of computer, based on representations from WorldCom's vendor, I have determined that WorldCom pays under BEGIN WorldCom PROPRIETARY *** *** END

WorldCom PROPRIETARY per hour for CPU time — a rate that would presumably include compensation for all of the vendor's overhead costssuch as building space and power requirements.

- 23. WorldCom used midrange computers before it upgraded to a mainframe platform. Using a mainframe platform allows WorldCom to perform other tasks besides just gathering and outputting directory assistance listing data for use by our operators and data customers.

 Given that the data for SBC California comprise only about BEGIN WorldCom

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 END WorldCom PROPRIETARY*** of data, however, it is my professional opinion that even a low-end midrange computer would be adequate to process this isolated number of listings in under an hour.
- 24. Carriers and DA providers have largely automated both the initial load and daily update process as the computer equipment and electronic storage has become cheaper and more cost effective and computer databases have become more sophisticated. Moreover, LECs often integrate the directory assistance listings database with other databases on large computer systems to facilitate making changes and providing the data quickly and

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efficiently to their own operators or other customers. WorldCom, for example, used midrange computers to house its directory assistance database, but subsequently consolidated this database to a mainframe computer system to facilitate integration with its internal systems, its directory assistance platform, and its customers. More importantly, the mainframe computers also perform other services unrelated to directory assistance listings.

E. Industry Observations

Overall, the directory assistance process has been standardized and is more streamlined today than it was a few years ago. Despite the fact that LECs such as SBC California change the data format once in a while to suit their own needs without regard to the effect it has on receiving LECs such as WorldCom, the technology and the processes are generally the same throughout the industry. Moreover, the computer equipment and storage technology has gotten cheaper and the process has been automated. As with the telecommunications industry as a whole, most changes in technology or processes are implemented to accommodate new efficiencies and reduce overall cost. Moreover, the providers of DAL, LECs such as SBC California or even the former GTE (now Verizon), should realize cost savings as they achieve economies of scale by consolidating resources under their regional parents SBC and Verizon respectively. These cost savings should be reflected in the price of the directory assistance listing data services provided by these ILECs..

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IV. CONCLUSION

26. This concludes my declaration.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct to the best of my knowledge.

Dated this 6 th day of April, 2003 at Ashburn, Virginia.

Edward J. Caputo

CERTIFICATE OF SERVICE

I, Chris Lucas, hereby certify that copies of the foregoing "Comments of LSSi Corp., Metro One Telecommunication, Inc. and WorldCom Inc. on the SBC Pacific Bell Amended Directory Assistance Listing Information Service ("Dalis") Cost Study" have been served on the following persons, by United States mail, on the service list for R.95-04-043, this 17th day of April, 2003.

Chris Lucas

CALIFORNIA PUBLIC UTILITIES COMMISSION Service Lists

Proceeding: R9504043 - PUC - OIR INTO COMPE

Filer: PUC-LOCAL EXCHANGE SERVICE

List Name: LIST

Last changed: April 15, 2003

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Appearance

JIM DEAK REGIONAL DIR., NPA RELIEF PLANNING NEUSTAR, INC 28 LAKE VALLEY ROAD MORRISTOWN, NJ 07960

MICHAEL S. SLOMIN SENIOR COUNSEL TELCORDIA TECHNOLOGIES, INC. 445 SOUTH STREET, MCC-1J130R MORRISTOWN, NJ 07960

JON CHAMBERS 401 9TH ST NW 400 WASHINGTON, DC 20004-2133

MICHAEL J. THOMPSON ATTORNEY AT LAW WRIGHT & TALISMAN, PC 1200 G STREET, N.W., STE 600 WASHINGTON, DC 20005

COLE, RAYWID & BRAVERMAN, LLP SWIDLER REE 1919 PENNSYLVANIZ AVENUE, NW SUITE 200 3000 K STREET, N.W., SUITE 300 WASHINGTON, DC 20007

SWIDLER BERLIN SHEREFF FRIEDMAN, LLP WASHINGTON, DC 20007

CHRISTY C. KUNIN GARY CARY WARE & FREIDENRICH LLP 1625 MASSACHUSETTS AVENUE, N.W. WASHINGTON, DC 20036

MATTHEW C. AMES ATTORNEY AT LAW 1155 CONNECTICUT AVE., NW, STE. 1000 WASHINGTON, DC 20036

LAURA H. PHILLIPS DOW LOHNES & ALBERTSON 1200 NEW HAMPSHIRE AVENUE, N.W. WASHINGTON, DC 20036-6802

KIM LOGUE REGULATORY ANALYST LCI INTERNATIONAL TELECOM CORP. 4250 N. FAIRFAX DRIVE, 12W002 ARLINGTON, VA 22203

CECIL O. SIMPSON, JR.

CARRINGTON PHILLIP

http://waxay.onus.on.acv/muhti-had/angin- ti---/DOEO4042.00714

- -- -- -- --

US ARMY LEGAL SERVICES AGENCY US ARMY LEGAL SERVICES AGENCY COX CALIFORNIA TELCOM INC.
901 NORTH STUART STREET, SUITE 713 1400 LAKE HEARN DRIVE NE
ARLINGTON, VA 22203-1837 ATLANTA, GA 30319

WOODY TRAYLER MCIMETRO 2250 LAKESIDE BLVD RICHARDSON, TX 75082

WILLIAM J. COBB III ATTORNEY AT LAW COVAD COMMUNICATIONS COMPANY 100 CONGRESS AVENUE, SUITE 2000 AUSTIN, TX 78701

ALOA STEVENS VICE PRESIDENT-STATE GOVERNMENT AFFAIRS 555 W FIFTH ST SUITE 4600 CITIZENS COMMUNICATIONS 4 TRIAD CENTER, SUITE 200 SALT LAKE CITY, UT 84180

JONES DAY LOS ANGELES, CA 90013

BRENDA BIRDWELL VERIZON CALIFORNIA INC. 112 LAKEVIEW CANYON ROAD, CA501LS THOUSAND OAKS, CA 91362

GILBERT J. YABLON SMART DIALING SYSTEMS 21914 DUMETZ ROAD WOODLAND HILLS, CA 91364

DEBORAH BERGER OFFICE OF THE CITY ATTORNEY CITY OF SAN DIEGO 1200 THIRD AVENUE, SUITE 1200 SAN DIEGO, CA 92101

LEÉ BURDICK ATTORNEY AT LAW FERRIS & BRITTON 401 WEST A STREET, SUITE 1600 SAN DIEGO, CA 92101

JACQUELINE MITTELSTADT

K.S. NOLLER CITY OF SAN DIEGO

OFFICE OF THE CITY ATTORNEY

1200 THIRD AVENUE, SUITE 1100

SAN DIEGO, CA 92101-4100

ASSISTANT GENERAL MANGER

IMPERIAL IRRIGATION DISTRICT

PO BOX 937

IMPERIAL, CA 92251

ROBERT D. HERRICK CITY ATTORNEY CITY OF MORENO VALLEY 14177 FREDERICK STREET MORENO VALLEY, CA 92552-0805

BRANDON B. POWELL U B NETWORKS, INC. 2030 MAIN ST., SUITE 550 IRVINE, CA 92614

MIKE BAGLEY VERIZON WIRELESS 3 PARK PLAZA IRVINE, CA 92623 NICK RAHE CITY OF SANTA ANA PO BOX 1988 SANTA ANA, CA 92702

JOSEPH R. COCKE SR. NPA RELIEF PLANNER - WESTERN REGION SAN FRANCISCO CITY ATTORNEY'S OFFICE NEUSTAR, INC. NORTH AMERICAN NUMBERING PLAN ADMIN

TELECOMMUNICATIONS TEAM LEADER CITY HALL ROOM 234

http://wararraming.or marchard. 11. at . 2/2. 11. /DOSONON OF 1.

1445 E. LOS ANGELES AVE., SUITE 301-N SAN FRANCISCO, CA 94102 SIMI VALLEY, CA 93065-2817

CHRISTINE MAILLOUX

CHRISTINE MAILLOUX

ATTORNEY AT LAW

CALIF PUBLIC UTILITIES COMMISSION

THE UTILITY REFORM NETWORK

711 VAN NESS AVENUE, SUITE 350

SAN FRANCISCO, CA 94102

SAN FRANCISCO, CA 94102

SAN FRANCISCO, CA 94102–3214

CALIF PUBLIC UTILITIES COMMISSION CITY ATTORNEY
LEGAL DIVISION CITY AND COUNTY AND COUNT

CITY ATTORNEY CITY AND COUNTY OF SAN FRANCISCO ROOM 4107 CITY HALL ROOM 234
505 VAN NESS AVENUE 1 DR. CARLTON B. GOODLETT PLACE
SAN FRANCISCO, CA 94102-3214 SAN FRANCISCO, CA 94102-4682

COLLEEN M. O'GRADY DIAMOND

GENERAL ATTORNEY

SBC PACIFIC BELL

140 NEW MONTGOMERY ST., RM. 1529

SAN FRANCISCO, CA 94105

ED KOLTO WININGER

ATTORNEY AT LAW

PACIFIC BELL

140 NEW MONTGOMERY ST., ROOM 1619

SAN FRANCISCO, CA 94105

JIM YOUNG KEVIN M. FONG
ATTORNEY AT LAW
PACIFIC BELL
140 NEW MONTGOMERY STREET, ROOM 1805
SAN FRANCISCO, CA 94105

KEVIN M. FONG
ATTORNEY AT LAW
PILLSBURY WINTHROP LLP
50 FREMONT STREET
SAN FRANCISCO, CA 94105

MARY E. WAND
ATTORNEY AT LAW
MORRISON & FOERSTER LLP
100 SPEAR STREET, SUITE 930
SAN FRANCISCO, CA 94105

JAMES M. TOBIN

ATTORNEY AT LAW

MORRISON & FOERSTER LLP

425 MARKET STREET

SAN FRANCISCO, CA 94105-2482

BURTON A. GROSS

ATTORNEY AT LAW

MUNGER, TOLLES & OLSON, LLP

33 NEW MONTGOMERY STREET, 19TH FLOOR

SAN FRANCISCO, CA 94105-2482

SAN FRANCISCO, CA 94105-9781 SAN FRANCISCO, CA 94105-9781

MICHAEL HURST ATTORNEY AT LAW-AT&T COMMUNICATIONS OF CALIFORNIA, INC. SIMPSON PARTNERS LLP 795 FOLSOM STREET, SUITE 670 900 FRONT STREET
SAN FRANCISCO, CA 94107 SAN FRANCISCO, CA 94111

DAVID A. SIMPSON ATTORNEY AT LAW

E. GARTH BLACK

ATTORNEY AT LAW

COOPER, WHITE & COOPER, LLP

201 CALIFORNIA STREET, 17TH FLOOR

SAN FRANCISCO, CA 94111

JAMES D. SQUERI

ATTORNEY AT LAW

GOODIN MACBRIDE SQUERI RITCHIE & DAY LLP

505 SANSOME STREET, SUITE 900

SAN FRANCISCO, CA 94111

JEFFREY F. BECK ATTORNEY AT LAW

MARK P. SCHREIBER

ROBERT J. GLOISTEIN ORRICK HERRINGTON & SUTCLIFFE, LLP

400 SANSOME STREET

SAN FRANCISCO, CA 94111-3143

ATTORNEY AT LAW

DAVIS WRIGHT TREMAINE LLP

ONE EMBARCADERO CENTER, STE 600

SAN FRANCISCO, CA 94111-3834

MARTIN A. MATTES

ATTORNEY AT LAW

NOSSAMAN GUTHNER KNCX & ELLIOTT, LLP

50 CALIFORNIA STREET, 34TH FLOOR
SAN FRANCISCO, CA 94111-4799

PETER A. CASCIATO
ATTORNEY AT LAW
A PROFESSIONAL CORPORATION
8 CALIFORNIA STREET, SUITE 701
SAN FRANCISCO, CA 94111-4799

SAN FRANCISCO, CA 94111-4799 MARTIN A. MATTES

LOUIS E. VINCENT SAN FRANCISCO, CA 9:120

EARL NICHOLAS SELBY LAW OFFICES OF EARL MICHOLAS SELBY
VICE PRESIDENT
BANK OF AMERICA
VICE PRESIDENT
BANK OF AMERICA 418 FLORENCE STREET PALO ALTO, CA 94301-1705

MARYANNE MCCORMICK CSBRT/CSBA 954 CAROL LANE LAFAYETTE, CA 94549

PETER W. HANSCHEN ATTORNEY AT LAW

KATHRYN A. FUGERE ATTORNEY AT LAW

COOPER, WHITE & COOPER, L.L.P.

201 CALIFORNIA ST., 17TH FLOOR
SAN FRANCISCO, CA 94111

ATTORNEY AT LAW

STEEFEL, LEVITT & WEISS
ONE EMBRACADERO CENTER, 30TH FLOOR
SAN FRANCISCO, CA 94111

MELISSA WAKSMAN ATTORNEY AT LAW

COOPER, WHITE & COOPER, LLP

201 CALIFORNIA STREET, 17TH FLOOR
SAN FRANCISCO, CA 94111

ATTORNEY AT LAW

XO CALIFORNIA, INC.
ONE FRONT STREET, SUITE 1850
SAN FRANCISCO, CA 94111

TERRY J. HOULIHAN

BINGHAM MCCUTCHEN LLP

3 EMBARCADERO CENTER, 18TH FLOOR

GOODIN MACBRIDE SQUERI RITCHIE & DAY LLP

505 SANSOME STREET, SUITE 900

CAM FRANCISCO, CA 94111-3133

DAVID MARCHANT

MICHELLE L. WILSON ATTORNEY AT LAW
PACIFIC GAS AND ELECTRIC COMPANY
PO BOX 7442
PO BOX 7442
PO BOX 7442, LAW DEPT. SAN FRANCISCO, CA 94120

> JERRY VARCAK M/C CA4-703-03-27 1755 GRANT STREET CONCORD, CA 94520

ISABELLE SALGADO PACIFIC TELESIS LEGAL GROUP 2600 CAMINO RAMON, ROOM 2W802 SAN RAMON, CA 94583

WALTER C. FINCH EXECUTIVE VICE PRESIDENT

http://errore.amin an anisherblished/sami 11 / Macadaga anglished

MORRISON & FOERSTER LLP PO BOX 8130 101 YGNACIO VALLEY ROAD, SUITE 450 WALNUT CREEK, CA 94596-8130

BOMA CALIFORNIA 1000 BROADWAY, SUITE 355 OAKLAND, CA 94607-4090

TERRY L. MURRAY MURRAY & CRATTY 227 PALM DRIVE PIEDMONT, CA 94610

LESLA LEHTONEN STAFF ATTORNEY CALIFORNIA CABLE TELEVISION ASSN. PO BOX 11080 4341 PIEDMONT AVENUE OAKLAND, CA 94611-0080

JEROME CANDELARIA ATTORNEY AT LAW CALIFORNIA CABLE TV ASSOCIATION 4341 PIEDMONT AVENUE, 2ND FLOOR OAKLAND, CA 94611-4715

DAVID M. WILSON ATTORNEY AT LAW WILSON & BLOOMFIELD LLP 1901 HARRISON STREET SUITE 1630 OAKLAND, CA 94612

SHELLEY BERGUM DEAF & DISABLED TELECOMMUNICATIONS PRGRM FEDERAL COMMUNICATIONS CORPORATION 505 14TH STREET, SUITE 400 OAKLAND, CA 94612-3532

BILL TYNES 131 ALBRIGHT WAY SUITE C LOS GATOS, CA 95030

HAL KLUIS PRESIDENT EVANS TELEPHONE COMPANY 4918 TAYLOR COURT TURLOCK, CA 95382-9599

DEBORAH R. SCOTT ASSOCIATE GENERAL COUNSEL CITIZENS COMMUNICATIONS PO BOX 340 EL GROVE, CA 95759

DEBRA L. CARLTON VICE PRESIDENT CALIFORNIA APARTMENT ASSOCIATION 980 NINTH STREET, STE 2150 SACRAMENTO, CA 95814-2741

RICHARD A. ELBRECHT ATTORNEY AT LAW DEPARTMENT OF CONSUMER AFFAIRS 400 R STREET, SUITE 3090 SACRAMENTO, CA PERIA-6200

MARK P. TRINCHERO ATTORNEY AT LAW DAVIS WRIGHT TREMAINE LLP 1300 SW 5TH AVENUE, SUITE 2300 PORTLAND, OR 97201

DANIEL M. WAGGONER DAVIS WRIGHT TEFMAINE 2600 CENTURY SQUARE 1501 FOURTH AVENUE SEATTLE, WA 98101-1688

ANDREW O. ISAR DIRECTOR, STATE AFFAIRS TELECOMMUNICATIONS RESELLERS ASSN. 7901 SKANSIE AVE 240 GIG HARBOR, WA 98335-8349

Information Only

DENNIS M. DOYLE ARCH WIRELESS 1800 WEST PARK DRIVE, SUITE 250 WESTBOROUGH, MA 01581~3912

TRUDY M. LONGNECKER RCN 105 CARNEGIE CENTER PRINCETON, NJ 08540

PATRICIA KING RCN 506 CARNEGIE CENTER PRINCETON, NJ 08540-6251

DAVID KLEIN KLEIN ZELMAN ROTHERMEL & DICHTER, LLP 485 MADISON AVENUE NEW YORK, NY 10022

JIM STINSON ADELPHIA BUSINESS SOLUTIONS OPERATIONS, 121 CHAMPION WAY CANONSBURG, PA 15317-5817

CHERIE R. KISER MANAGER OF LEGAL AND REGULATORY AFFARIS MINTZ, LEVIN, COHN, FERRIS, GLOVSKY&POPEO, PC SUITE 900 701 PENNSYLVANIA AVENUNE. NW WASHINGTON, DC 20004

LINDA HYMANS MANAGER, REGULATORY MATTERS NEUSTAR, INC. 1120 VERMONT AVENUE, NW. STE 550 WASHINGTON, DC 20005

JULIE K. CORSIG DAVIS WRIGHT TERMAINE LLP 1500 K STREET, NW WASHINGTON, DC 20005-1272

LOUISE M. TUCKER TELCORDIA TECHNOLOGIES, INC. 2020 K STREET, N.W. SUITE 400 WASHINGTON, DC 20008

RICHARD M. RINDLER ATTORNEY
SWIDLER & BERLIN, CHARTERED 3000 K STREET, NW., SUITE 300 WASHINGTON, DC 20007

RIED ZULAGER ALLIED RISER COMMUNICATIONS 1015 31ST NW WASHINGTON, DC 20007-4406

PHYLLIS WHITTEN SWIDLER BERLIN SHEREFF FRIEDMAN, LLC 3000 K STREET, N.W., SUITE 300 WASHINGTON, DC 20007-5116

FON DEL SESTO SWIDLER BERLIN SHEREFF FRIEDMAN, LLP
3000 K STREET NW SUITE 300
3000 K ST NW SUITE 300 WASHINGTON, DC 20007-5116

RUSSEL M. BLAU WASHINGTON, DC 20007-5116

DIRECTOR, REGULATORY AND STATE AFFAIRS GURMAN , BLASK & FREEDMAN, CHARTERED COMPETITIVE TELECOMMUNICATIONS ASSOCIATI 1400 SIXTEENTH STREET, N.W., SUITE 500 1900 M STREET, NW, SUITE 800 WASHINGTON, DC 20036 WASHINGTON, DC 20036

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BRENDA J. BOYKIN

JONATHAN E. CANIS ATTORNEY KELLEY DRYE & WARREN MELISSA SMITH KELLEY, DRYE & WARREN LLP 1200 19TH STREET, N.W., SUITE 500 1200 19TH STREET, N.W., SUITE 500 WASHINGTON, DC 20036

WASHINGTON, DC 20036

GRAY CARY WARE & FREIDENRICH LLP

1625 MASSACHUSETTS AVE, NW, SUITE 300
WASHINGTON, DC 20036

ROSS A. BUNTROCK
ATTORNEY AT LAW
KELLEY DRYE & WARREN LLP
1200 19TH STREET NW, SUITE

1200 19TH STREET NW, SUITE 500

ALEXANDER V. NETCHVOLODOFF

VICE PRESIDENT OF PUBLIC POLICY

COX ENTERPRISES, INC.

1225 19TH STREET NW, STE 450

WASHINGTON, DC 20037 WASHINGTON, DC 20036-2458

ALLAN C. HUBBARD, ESO. STERLING, VA 20166-7514

ALLAN C. HUBBARD, ESQ.

REGULATORY COUNSEL

SHARED TECHNOLOGIES FAIRCHILD

45025 AVIATION DRIVE NO. 450

STERLING, VA 20166-7514

YVETTE TARLOV

DEPARTMENT OF JUSTICE/TELECOM TASK FORCE

ANTITRUST DIVISION NO.8104

1401 H STREET NW, STE 8000

WASHINGTON, DC 20530 YVETTE TARLOV WASHINGTON, DC 20530

TERRI NATOLI ESQUIRE TELIGENT, INC. 8065 LEESBURG PIKE, STE 400 VIENNA, VA 22180

KARLA MARQUIS SR. REGULATORY AFFAIRS ANALYST CABLE & WIRELESS, INC. 8219 LEESBURG PIKE VIENNA, VA 22182

CHRISTINE J. BENEDETTO REGULATORY COUNSEL STATESIDE ASSOCIATES 2300 CLARENDON BLVD, 4TH FLOOR ARLINGTON, VA 22201-3367

KATHLEEN VILLACORTA ATTORNEY
WIGGINS &
POST OFFI
501 EAST WIGGINS & VILLACORTA, P.A. POST OFFICE BOX 1657 501 EAST TENNESSEE STREET, SUITE B TALLAHASSEE, FL 32302

CONNIE WIGHTMAN TECHNOLOGIES MANAGEMENT, INC. PO DRAWER 200 210 N PARK AVE. WINTER PARK, FL 32790-0200

LOUISE BEALE CONSULTANT TECHNOLOGIES MANAGEMENT, INC. PO DRAWER 200 WINTER PARK, FL 32790-0200

TONY GILLMAN GENERAL COUNSEL VERIZON SELECT SERVICES 201 NORTH FRANKLIN STREET TAMPA, FL 33602-5167

INTERMEDI.
ONE INTERMEDIA ...
TAMPA, FL 33647 INTERMEDIA COMMUNICATIONS INC. ONE INTERMEDIA WAY

JULIE RICHEY MARKETING DIRECTOR TEL COM PLUS 5251 110TH AVE. NORTH, STE 118 LAKELAND, FL 33811 CLEARWATER, FL 33760

JEFF HENDRIX PROTEL, INC. 4150 KIDRON ROAD

.

BRUCE J. WESTON ATTORNEY AT LAW 169 WEST HUBBARD AVENUE COLUMBUS, OH 43215-1439

STACY KUNDINGER CODE ADMINISTRATOR DOBSON CELLULAR SYSTEMS 1514 WEST CARO ROAD CARO, MI 48723

LINDA LINK NCS PEARSON 5601 GREEN VALLEY DR. BLOOMINGTON, MN 55437

PETE LONG CINGULAR WIRELESS 2000 W. AMERITECH CENTER DRIVE, 3H82 HOFFMAN ESTATES, IL 60195-5000

DANIEL MELDAZIS 200 N LASALLE ST., STE. 1100 CHICAGO, IL 60601

JANE EMERSON SENIOR MANAGER REGULATORY AFFAIRS
MANAGER OF RESEARCH
FOCAL COMMUNICATIONS CORPORATION
NEW PARADIGM RESOURCES GROUP, INC 12 S. MICHIGAN AVE., 5TH FLOOR CHICAGO, IL 60603

JEFFREY M. PFAFF ATTORNEY AT LAW SPRINT PCS - LEGAL/REGULATORY 4900 MAIN STREET, 11TH FLOOR KANSAS CITY, MO 64112

TERRENCE J. FERGUSON SENIOR VICE PRESIDENT & GENERAL COUNSEL LEVEL 3 COMMUNICATIONS, LLC 3555 FARNAM STREET OMAHA, NE 68131

DIRECTOR-CONTRACT MANAGEMENT FOUR SEC PLAZA, RM 940.2 DALLAS, TX 75202

NOTICES MANAGER SBC FOUR SBC PLAZA, 9TH FLOOR DALLAS, TX 75202

KIMBERLY NATIONS FOUR SBC PLAZA, RM 2060 DALLAS, TX 75202

CARLA SPANN 1950 STEMMONS FREEWAY, SUITE 3026 DALLAS, TX 75207

CRISTY DELIRA PAGEMART WIRELESS 3333 LEE PARKWAY DALLAS, TX 75219

MARK J. ANGELL ANGELL & ASSOCIATES 1075 ROSEWOOD DRIVE GRAPEVINE, TX 76051

VICE PRESIDENT OF REGULATORY AFFAIRS
U.S. LONG DISTANCE, INC.

9311 SAN PEDRO, SUITE 300

JO ANN G. HILL
SR. MANAGER, PUBLIC POLICY
FIRSTWORLD COMMUNICATIONS MENNETH F. MELLEY, JR. 9311 SAN PEDRO, SUITE 300 SAN ANTONIO, TX 78216

6080 GREENWOOD PLAZA BLVD. GREENWOOD VILLAGE, CO 80111-4801

DOUG HSIAO

RAYMOND S. HEYMAN

house the more and a south of the of the morning one .

2 11 MIGAAA

ASSISTANT GENERAL COUNSEL
RHYTHMS LINKS, INC.
TWO ARIZONA CENTER
400 NORTH 5TH ST., STE 1000
PROPERTY AZ 85004 PHOENIX, AZ 85004

GERI GURULE

DAVID M. NORRIS COMPASS TELECOMMUNICATIONS, INC.

ASSOCIATE GENERAL COUNSEL

14821 N 73RD STREET

SIERRA PACIFIC POWER COMPANY

SCOTTSDALE, AZ 85260-3140

6100 NEIL ROAD, PO BOX 10100 RENO, NV 89520

GWEN MOORE GEM COMMUNICATIONS

EDWARD J. PEREZ ASSISTANT CITY ATTORNEY 4201 WILSHIRE BLVD., STE 300 OFFICE OF THE CITY ATTORNEY
LOS ANGELES, CA 90010 ROOM 1800, CITY HALL EAST 200 NORTH MAIN STREET LOS ANGELES, CA 90012

LOTA ROJAS

MARK O'KRENT URJET BACKBONE NETWORK, INC.

TELEPHONE CONNECTION OF LOS ANGELES
700 WILSHIRE, 6TH FLOOR
LOS ANGELES, CA 90017

TELEPHONE CONNECTION OF LOS ANGELES
100 ANGELES, CA 90035-2710

JANE Z. DELAHANTY

AVP - REGULATORY AFFAIRS

U.S. TELEPACIFIC HOLDINGS CORP.

515 SOUTH FLOWER ST. 49TH FLOOR

LOS ANGELES, CA 90071-2201

NORMAN A. PEDERSEN

ATTORNEY AT LAW

HANNA AND MORTON LLP

444 SOUTH FLOWER ST., SUITE 1500

LOS ANGELES, CA 90071-2201

JAMES E. PICKRELL BRAND X INTERNET 927 6TH STREET SANTA MONICA, CA 90403

GENERAL COUNSEL LOS ANGELES CELLULAR TELEPHONE CO. 17785 CENTER COURT DRIVE NORTH CERRITOS, CA 90703-8575

DAN H. BURCHAM NPA RELIEF PLANNER NEUSTAR, INC. 249 E OCEAN BLVD. LONGBEACH, CA 90803

MAUREEN M. STEVENSON CODE ADMINISTRATOR, USA DIVISION TELSCAPE COMMUNICATIONS, INC. 606 E. HUNTINGTON DRIVE, 2ND FLOOR MONROVIA, CA 91016-3600

STEVEN G. LINS
CITY OF GLENDALE
OFFICE OF THE CITY ATTORNEY
613 EAST BROADWAY, SUITE 220 GLENDALE, CA 91206-4394

LUPITA REYES VERIZON CALIFORNIA, INC. 112 LAKEVIEW CANYON, CA501LS THOUSAND OAKS, CA 91362

DIRECTOR OF OPERATIONS
CITY OF BURBANK

BONNIE Y. CHENG
DIRECTOR OF OPERATIONS CITY OF BURBANK 275 EAST OLIVE AVENUE

PHOENIX INTEGRATION CORPORATION 7773 EAST GARVEY AVENUE., SUITE 832 BURBANK, CA 91510-6459

ROSEMEAD, CA 91770

THOMAS K. BRAUN SOUTHERN CALIFORNIA EDISON CO. SEMPRA COMMUNICATIONS 2244 WALNUT GROVE AVE., 1, RM 360 101 ASH STREET ROSEMEAD, CA 91770

SAN DIEGO, CA 92101-3017

KEITH W. MELVILLE ATTORNEY AT LAW SEMPRA ENERGY 101 ASH STREET SAN DIEGO, CA 92101-3017

MICHAEL SHAMES ATTORNEY AT LAW UTILITY CONSUMERS' ACTION NETWORK 3100 FIFTH AVENUE, SUITE B SAN DIEGO, CA 92103

ESTHER NORTHRUP ATTORNEY AT LAW XO CALIFORNIA, INC. 5771 COPELY DRIVE SAN DIEGO, CA 92111

BONNIE K. ALEXANDER ALEXANDER CONSULTING 4944 CORTE PLAYA DE CASTILLA SAN DIEGO, CA 92124-4126

LAURA FARMER STATE BROS. MARKET PO BOX 150 COLTON, CA 92324

KIMBERLY M. KIRBY LEGAL COUNSEL DAVIS DIXON KIRBY LLP 19200 VON KARMAN AVENUE, SUITE 600 IRVINE, CA 92612

KIMBERLY M. KIRBY

MICHAEL BAGLEY KIMBERLY M. KIMBI

LEGAL COUNSEL

DAVIS DIXON KIRBY LLP

19200 VON KARMAN AVENUE, SUITE 600

TOUTHEL CA 92618 IRVINE, CA 92618

JIM FINKLE ORANGE COUNTY REGISTER 625 N. GRAND AVENUE SANTA ANA, CA 927G1

KAREN M. POTKUL ATTORNEY AT LAW NEXTLINK CALIFORNIA, INC. 1924 E. DEERE AVENUE, STE 110 SANTA ANA. CA 92705

LINDA BURTON SIERRA TELEPHONE FO BOX 219 OAKHURST, CA 93644-0219

JACK BURK PRESIDENT INTEGRATED TELESERVICES, INC. 7108 N. FRESNO STREET, SUITE 300 FRESNO, CA 93720

D.M. CARROLL PAGING SYSTEMS, INC PO BOX 4249 BURLINGAME, CA 94011-4249

Seemallineary among an installable to the state of the MOSONADAD DOTALS

DAVID CARTER DIALINK CORPORATION 164 E. DANA STREET MOUNTAIN VIEW, CA 94041-1508 EDWIN D. JONES 1263 ALICANTE DR. PACIFICA, CA 94044-4306

REGINA COSTA THE UTILITY REFORM NETWORK 625 POLK STREET, SUITE 403 SAN FRANCISCO, CA 94102

JULIO RAMOS CALIF PUBLIC UTILITIES COMMISSION LEGAL DIVISION ROOM 5130 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

KEN MCELDOWNEY

CONSUMER ACTION

THE GREENLINING INSTITUTE

ULTS ADMINISTRATIVE COMMITTEE

785 MARKET ST 3RD FLOOR

717 MARKET STREET. SUITE 310

SAN FRANCISCO, CA 94103-2003 SAN FRANCISCO, CA 94103

MARK SAVAGE ATTORNEY AT LAW PUBLIC ADVOCATES, INC. 1535 MISSION STREET SAN FRANCISCO, CA 94103-2500

MCI WORLDCOM, INC.

DAVID DISCHER

ATTORNEY AT LAW

PACIFIC BELL TELEPHONE COMPANY

140 NEW MONTGOMERY STREET, 15TH FLOOR
SAN FRANCISCO, CA 94105

MAIA ETTINGER

LEGAL DIRECTOR

WORKING ASSETS FUNDING SERVICE

101 MARKET STREET, NO. 700

SAN FRANCISCO, CA 94105

MARK BROWN SENIOR ATTORNEY MCI TELECOMMUNICATIONS CORP. 201 SPEAR STREET, 9TH FLOOR SAN FRANCISCO, CA 94105

ROCKY N. UNRUH JUDITH A. HOLIBER

ELAINE DUNCAN ATTORNEY AT LAW VERIZON CALIFORNIA INC. 711 VAN NESS AVENUE, SUITE 300 SAN FRANCISCO, CA 94102

CHERRIE CONNER CALIF PUBLIC UTILITIES COMMISSION MARKET STRUCTURE BRANCH AREA 3-D 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

DENISE BRADY DEPT OF TELECOMMUNICATIONS & INFORMATION CITY & COUNTY OF SAN FRANCISCO 875 STEVENSON STREET, 5TH FLOOR SAN FRANCISCO, CA 94103

STEPHEN P. BOWEN ATTORNEY AT LAW BOWEN LAW GROUP 235 MONTGOMERY STREET, SUITE 920 SAN FRANCISCO, CA 94104

ANTHONY J. DITIRRO DARREN S. WEINGARD
MCI WORLDCOM, INC. SPRINT COMMUNICATIONS COMPANY, L.P.
201 SPEAR STREET, 9TH FLOOR 100 SPEAR STREET, SUITE 930
SAN FRANCISCO, CA 94105 SAN FRANCISCO, CA 94105

MICHELLE R. GALBRAITH ATTORNEY AT LAW
PACIFIC BELL
140 NEW MONTGOMERY STREET, ROOM 1520 SAN FRANCISCO, CA 94105

> STEPHANIE E. KRAPF ATTORNEY AT LAW

MORGENSTEIN & JUBELIRER LLP
ONE MARKET PLAZA
SPEAR STREET TOWER, 32ND FLOOR
PACIFIC BELL
140 NEW MONTGOMERY ST., ROOM 1522A
SAN FRANCISCO, CA 94105 SAN FRANCISCO, CA 94105

THOMAS J. SELHORST WALTER MCGEE
PACIFIC BELL TELEPHONE COMPANY WORKING ASSETS FUNDING SERVICE
140 NEW MONTGOMERY ST., ROOM 1505
SAN FRANCISCO, CA 94105
SAN FRANCISCO, CA 94105

WILLIAM C. HARRELSON ATTORNEY AT LAW MCIMETRO ACCESS TRANSMISSION SRVCES, LLC AT&T COMMUNICATIONS OF CALIFORNIA, INC. 201 SPEAR STREET SAN FRANCISCO, CA 94105

GREGORY HOFFMAN ATTORNEY AT LAW 795 FOLSOM STREET SAN FRANCISCO, CA 94107

MARGARET TOBIAS

ATTORNEY AT LAW

460 PENNSYLVANIA AVENUE

SAN FRANCISCO, CA 94107

AT&T COMMUNICATIONS OF CALIFORNIA, INC.
795 FOLSOM STREET, ROOM 2149
SAN FRANCISCO, CA 94107

JONATHAN A. GLASS

GRAY CARY WARE & FREIDENRICH LLP

153 TOWNSEND STREET, SUITE 800

SAN FRANCISCO, CA 94107-1907

GREGORY M. DUNCAN, PH.D.

SEÑIOR VICE PRESIDENT

NATIONAL ECONOMIC RESEARCH ASSOC. INC.

444 MARKET STREET SUITE 910

SAN FRANCISCO, CA 94111

SAN FRANCISCO, CA 94111

JAMES MCTARNAGHAN

ATTORNEY AT LAW

STEEFEL, LEVITT & WEISS

CNE EMBARCADERO CENTER, 30TH FLOOR
SAN FRANCISCO, CA 94111

JOHN CLARK

ATTORNEY AT LAW

GOODIN MACBRIDE SQUERI RITCHIE & DAY LLP

505 SANSCME STREET, 9TH FLOOR
SAN FRANCISCO, CA 94111

M. MANUEL FISHMAN REGINA M. DEANGELIS
ATTORNEY AT LAW
BARTKO ZANKEL TARRANT MILLER GOODIN MACBRIDE SQUERI RITCHIE & DAY LLP
900 FRONT STREET, STE 300 505 SANSOME STREET, STE 900
SAN FRANCISCO, CA 94111 SAN FRANCISCO, CA 94111

SUZANNE TOLLER - EDWARD O'NEILL
DAVIS WRIGHT TREMAINE - ATTORNEY AT LAW
ONE EMBARCADERO CENTER, SUITE 600 - ONE EMBARCADERO CENTER, SUITE 600
SAN FRANCISCO, CA 94111 - 3834

JANE WHANG

ATTORNEY AT LAW

DAVIS WRIGHT TREMAINE LLP

ONE EMBARCADERO CENTER, SUITE 600

SAN FRANCISCO, CA 94111-3834 SAN FRANCISCO, CA 94111-3834

JOSE E. GUZMAN, JR. ATTORNEY AT LAW ATTORNEY AT LAW

NOSSAMAN GUTHNER KNOX & ELLIOTT LLP

50 CALIFORNIA STREET, 34TH FLOOR

SAN FRANCISCO, CA 94111-4799

ATTORNEY AT LAW

PETER A. CASCIATO, PC

8 CALIFORNIA STREET, SUITE 701

SAN FRANCISCO, CA 94111-4825 SAN FRANCISCO, CA 94111-4799

PETER A. CASCIATO ATTORNEY AT LAW SAN FRANCISCO, CA 94111-4825

NIKAYLA NAIL ATTORNEY AT LAW CALTEL PO BOX 190427 SAN FRANCISCO, CA 94119

CECILIA LOUIE SENIOR CODE ADMINISTRATOR-NANPA NEUSTAR, INC. 1800 SUTTER STREET, SUITE 570 CONCORD, CA 94520

SHANNON COLLINS NEUSTAR, INC. 1800 SUTTER ST., STE. 570 CONCORD, CA 94520

TRACI NUTTER CALIFORNIA PAYPHONE ASSOCIATION 1866 CLAYTON ROAD, SUITE 213 CONCORD, CA 94520

JEFFREY ELKINS CEO CALTECH INTERNATIONAL TELECOM 197 JOAQUIN CIRCLE DACVILLE, CA 94526

GLEN CAROLO VALLEY COMMUNICATIONS INCORPORATED 47221 FREMONT BOULEVARD FREMONT, CA 94538

STEVE PAGE US DATA HIGHWAY CORP. 1113 HOPKINS WAY PLEASANTON, CA 94566

DENISE V. THOMAS MCI WORLDCOM 2678 BISHOP DRIVE, STE 200 SAN RAMON, CA 94583

GEORGE GRANGER GEORGE GRANGER
V.P. EXTERNAL AFFAIRS
CINCULAR WIRELESS CINGULAR WIRELESS 4420 ROSEWOOD DRIVE, 4TH FLOOR PLEASANTON, CA 94588

FREDDA HUTCHISON NEXTEL COMMUNICATIONS 1255 TREAT BLVD., SUITE 800 WALNUT CREEK, CA 94596

THERESA CABRAL ATTORNEY AT LAW MORRISON & FOERSTER, LLP 101 YGNACIO VALLEY ROAD, SUITE 450 WALNUT CREEK, CA 94596

FRANCIS F. CHIN GENERAL COUNSEL METROPOLITAN TRANSPORTATION COMMISSION JOSEPH P. BORT METRO CENTER 101 EIGHTH STREET OAKLAND, CR 94607-4700

DAVID WILNER PO BOX 2340 NAVATO, CA 94948-2340 WAYNE B. COOPER ATTORNEY AT LAW LAW OFFICES OF WAYNE B. COOPER THIRTY OAKLAND AVENUE SAN ANSELMO, CA 94960

PHIL CEGUERA COVAD COMMUNICATIONS 4250 BURTON DRIVE SANTA CLARA, CA 95054 DOUGLAS F. CARLSON . PO BOX 1077 SANTA CRUZ, CA 95061-1077

STEPHEN BUEL SAN JOSE MERCURY NEWS 750 RIDDER PARK DRIVE SAN JOSE, CA 95126

DEBORAH KONG SAN JOSE MERCURY NEWS 750 RIDDER PARK DRIVE SAN JOSE, CA 95190

ETHAN SPRAGUE ETHAN SPRAGUE
REGULATORY ANALYST
PAC-WEST TELECOMM, INC.
1776 W. MARCH LANE, SUITE 250 STOCKTON, CA 95207

LORRIE BERNSTEIN MOSS ADAMS LLP 3121 W. MARCH LANE, SUITE 100 STOCKTON, CA 95219-2303

KATH THOMAS

V.P., REGULATORY & PUBLIC UTILITIES

ADVANCED TELCOM GROUP, INC.

110 STONY POINT ROAD, SUITE 130

KICHARD C. HALL

INTEL CORPORATION

1900 PRAIRIE CITY RD, M/S FM4-125

FOLSOM, CA 95630-9598 SANTA ROSA, CA 95401-4118

CHARLES E. BORN MANAGER-STATE GOVERNMENT AFFAIRS CITIZENS TELECOMMUNICATIONS CO. OF CA 01 COMMUNICATIONS, INC. PO BOX 340 ELK GROVE, CA 95759

ALEXANDRA HANSON ASSISTANT DIRECTOR STATE REGULATORY AFFAIRS 770 L STREET, SUITE 960 SACRAMENTO, CA 95814

DAVID A. JONES LEAGUE OF CALIFORNIA CITIES 1400 K STREET SACRAMENTO, CA 95814

JEANNINE ENGLISH LEHMAN ENGLISH KELLY & O'KEEFE LLC 1215 K STRET, SUITE 1010 SACRAMENTO, CA 95814

JEFF KOSITSKY CAL/NEVA COMMUNITY ACTION 225 30TH ST STE 200 SACRAMENTO, CA 95814

LESLIE S. SPAHNN HEIM NOACK KELLY & SPAHNN 1121 L STREET, SUITE 100 SACRAMENTO, CA 95814

MICHAEL GERSICK GRATTAN GERSICK KARP MILLER 980 9TH STREET, 16TH FLOOR SACRAMENTO, CA 95814-2719

T.M. EAGAN T.M. EAGAN CALIF. ENVIRONMENTAL & RESOURCE ASSC. EAGAN & WARD 1024 10TH STREET, STE. 300 SACRAMENTO, CA 95814-3514

TRACEY BUCK-WALSH REGULATORY VP, WESTERN REGION
MPOWER COMMUNICATIONS CORP MPOWER COMMUNICATIONS CORP.

LARRAINE DARRINGTON
CWA STAFF REPRESENTATIVE COMMUNICATIONS WORKERS OF AMERICA 2535 CAPITOL OAKS DRIVE, SUITE 140 SACRAMENTO, CA 95833-2944

2870 GATEWAY OAKS DRIVE SACRAMENTO, CA 95833-3577

LAURIE A. WILLMAN
METRO ONE COMMUNICATIONS
11200 MURRAY SCHOLLS PLACE
BEAVERTON, OR 97007

CINDY MANHEIM
AT&T WIRELESS SERVICES, INC.
BUILDING 1.
7277 164TH AVENUE., N.E.
REDMOND, WA 98052

LANCE SENTMAN
TELECOMMUNICATIONS GEOGRAPHER
INTERNATIONAL TELCOM, LTD.
417 SECOND AVENUE WEST
SEATTLE, WA 98119

CHARLES L. BEST VICE PRESIDENT AND GENERAL COUNSEL ELECTRIC LIGHTWAVE, INC. 4400 NE 77TH AVENUE VANCOUVER, WA 98662

DEBBIE HENNINGSGARD GST LIGHTWAVE 9300 NE OAK VIEW CRIVE VANCOUVER, WA 98662-6192

State Service

KYLE DEVINE
CALIF PUBLIC UTILITIES COMMISSION
COMMUNICATIONS OFFICE
320 WEST 4TH STREET SUITE 500
LOS ANGELES, CA 90013

MARIA E. STEVENS
CALIF PUBLIC UTILITIES COMMISSION
EXECUTIVE DIVISION
320 WEST 4TH STREET SUITE 500
LOS ANGELES, CA 90013

BILL NEILL PRIVATE CITIZEN, PROFESSIONAL ENGINEER PO BOX 33666 SAN DIEGO, CA 92163-3666

BRIAN M. CHANG
CALIF PUBLIC UTILITIES COMMISSION
TELECOMMUNICATIONS BRANCH
ROOM 4101
505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3214

CAROL A BROWN
CALIF PUBLIC UTILITIES COMMISSION
DIVISION OF ADMINISTRATIVE LAW JUDGES
ROOM 5103
505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3214

DALE PIIRU
CALIF PUBLIC UTILITIES COMMISSION
TELECOMMUNICATIONS BRANCH
ROOM 4101
505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3214

ELEANOR YUNG SZETO
CALIF PUBLIC UTILITIES COMMISSION
MARKET STRUCTURE BRANCH
AREA 3-D
505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3214

IRA KALINSKY
CALIF PUBLIC UTILITIES COMMISSION
LEGAL DIVISION
ROOM 5027
505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3214

JACQUELINE A. REED

JEFFREY P. O'DONNELL

CALIF PUBLIC UTILITIES COMMISSION DIVISION OF ADMINISTRATIVE LAW JUDGES
ROOM 5017

ROOM 5111

CABIT FORMICS OF ADMINISTRATIVE LAW JUDGES
ROOM 5111 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

JONATHAN LAKRITZ CALIF PUBLIC UTILITIES COMMISSION EXECUTIVE DIVISION **ROOM 5202** 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

KAREN JONES CALIF PUBLIC UTILITIES COMMISSION CALIF PUBLIC UTILITIES COMMISSION
DIVISION OF ADMINISTRATIVE LAW JUDGES CONSUMER ISSUES BRANCH **ROOM 5041** 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

LIONEL B. WILSON CALIF PUBLIC UTILITIES COMMISSION LEGAL DIVISION ROOM 5136 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

MARY JO BORAK CALIF PUBLIC UTILITIES COMMISSION MARKET STRUCTURE BRANCH AREA 3-D 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

NATALIE BILLINGSLEY CALIF PUBLIC UTILITIES COMMISSION TELECOMMUNICATIONS BRANCH ROOM 4101 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

ROBERT T. FERARU CALIF PUBLIC UTILITIES COMMISSION ENERGY DIVISION ROOM 4002 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

THOMAS LEW CALIF PUBLIC UTILITIES COMMISSION TELECOMMUNICATIONS BRANCH ROOM 4205 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

JERRY JAZMADARIAN TELCOMMUNICATIONS MANAGER COUNTY OF PLACER 2809 SECOND STREET

CALIF PUBLIC UTILITIES COMMISSION 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

JOSEPH A. ABHULIMEN CALIF PUBLIC UTILITIES COMMISSION ELECTRICITY RESOURCES AND PRICING BRANCH ROOM 4209 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

KAREN WATTS-ZAGHA ROOM 4102 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

LORANN KING CALIF PUBLIC UTILITIES COMMISSION CARRIER BRANCH AREA 3-D 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

MELVIN S DE LA CRUZ CALIF PUBLIC UTILITIES COMMISSION MARKET STRUCTURE BRANCH AREA 3-D 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

RISA HERNANDEZ CALIF PUBLIC UTILITIES COMMISSION MARKET STRUCTURE BRANCH AREA 3-D 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

SUE WONG CALIF PUBLIC UTILITIES COMMISSION MARKET STRUCTURE BRANCH AREA 3-D 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

THOMAS R. PULSIFER CALIF PUBLIC UTILITIES COMMISSION DIVISION OF ADMINISTRATIVE LAW JUDGES ROOM 5005 505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3214

UTILITIES AND COMMUNICATIONS COMMITTEE SENATE ENERGY STATE CAPITOL ROOM 408